

# TRANSMITTAL FORM

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Application Number	10/763,692
Filing Date	January 22, 2004
First Named Inventor	Giulia Kennedy
Art Unit	1642
Examiner Name	Minh Tam B. Davis

Total Number of Pages in This Submission

14

Attorney Docket Number

20366-111002

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/  
Incomplete Application

☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☒ Power of Attorney, Revocation  
Change of Correspondence  
Address (2 pages)

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board  
of Appeals and interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify  
below):

Statement Under 37 CFR Sec. 3.73(b)  
(with Table A; 4 pages);  
Copy of Assignment from application Serial  
No. 10/763,692 (8 pages); and,  
Return postcard.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name Fish & Richardson P.C.

Signature

Printed Name Gwilym J. O. Attwell, Reg. No. 45,449

Date

10/25/2006

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**POWER OF ATTORNEY BY ASSIGNEE WITH REVOCATION AND  
CHANGE OF CORRESPONDENCE ADDRESS**

I, the below-named representative, hereby appoint:

☒ Practitioners at Customer Number 65,484 as our attorney(s) or agent(s) to prosecute the applications identified in the attached Table A, and to transact all business in the United States Patent and Trademark Office connected with said applications.

Address all telephone calls and correspondence to:

**Julia R. Rosenthal  
Chiron Corporation  
Intellectual Property  
P. O. Box 8097  
Emeryville, CA 94662-2916  
Telephone: (510) 923-3949  
Fax: (510)-655-3542**

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GWILYM ATTWELL  
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I am a representative of the:

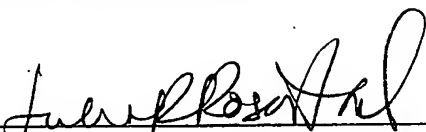
☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. (A statement under 37 CFR 3.73(b) is enclosed).

Respectfully submitted,

CHIRON CORPORATION

Date: 10/18/06

By:   
Name: Julia R. Rosenthal  
Title: Corporate Patent Counsel



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
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Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR §3.73(b)

Under 37 CFR §3.73(b) CHIRON CORPORATION, certifies that it is the assignee of the entire right, title and interest in the patent applications identified in Table A which is attached hereto.

The extent (by percentage) of its ownership is 100% in the patent applications identified in Table A. Copies of the pertinent assignments from the inventors(s) of the patent applications identified in Table A are enclosed herewith.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

Respectfully submitted,

CHIRON CORPORATION

Date: 10/18/06

By: Julia R. Rosenthal  
Name: Julia R. Rosenthal  
Title: Corporate Patent Counsel

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Gwilym Atwell

Typed or Printed Name of Person Signing Certificate



Table A

Assignee of Record	Serial No./Docket No.	Filing Date	Inventor(s)	Examiner	Art Unit	Title
Chiron Corporation	10/698,959 PP-01544.104 20366-108002	10/30/2003	Hamiduddin Khoja, et al.	Shulamith H. Shafer	1647	ISOLATED VSHK-1 RECEPTOR POLYPEPTIDES AND METHODS OF USE THEREOF
Chiron Corporation	09/490,818 PP001552.0002 20366-109001	1/25/2000	Giulia Kennedy	Gary B. Nickol	1642	POLYNUCLEOTIDES RELATED TO PANCREATIC DISEASE
Chiron Corporation	09/570,593 PP01556.002 20366-115001	5/12/2000	Joerg Kaufmann, et al.	Sally A. Sakelaris	1634	METHODS FOR DETERMINING METASTATIC POTENTIAL OF BREAST CANCER CELLS BY DETECTING GSEF GENE PRODUCT EXPRESSION
Chiron Corporation	09/626,301 PP001575.0003 20366-111001	7/25/2000	Giulia Kennedy	Minh Tam B. Davis	1642	POLYNUCLEOTIDES DIFFERENTIALLY EXPRESSED IN ADENOCARCINOMAS, POLYPEPTIDES ENCODED THEREBY, AND METHODS OF USE THEREOF
Chiron Corporation	10/763,692 PP001575.0005 20366-111002	1/22/2004	Giulia Kennedy	Minh Tam B. Davis	1642	POLYNUCLEOTIDES DIFFERENTIALLY EXPRESSED IN ADENOCARCINOMAS, POLYPEPTIDES ENCODED THEREBY, AND METHODS OF USE THEREOF

\*\*\*\*\*

Assignee of Record	Serial No./ Docket No.	Filing Date	Inventor(s)	Examiner	Art Unit	Title
Chiron Corporation	10/616,900 PP015805.0004 20366-116002	7/9/2003	Jaime Escobedo, et al.	Unknown	1631	GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS COLON CANCER CELLS AND THEIR METHODS OF USE
Chiron Corporation	10/081,119 PP016932.0002 20366-122003	2/21/2002	Christoph Reinhard, et al.	Tracy Ann Vivemore	1635	TTK IN DIAGNOSIS AND AS A THERAPEUTIC TARGET IN CANCER
Chiron Corporation	10/951,406 PP016932.0004 20366-122002	9/27/2004	Christoph Reinhard, et al.	Tracy Ann Vivemore	1635	TTK IN DIAGNOSIS AND AS A THERAPEUTIC TARGET IN CANCER
Chiron Corporation	10/951,389 PP016932.0015 20366-122001	9/27/2004	Christoph Reinhard, et al.	Tracy Ann Vivemore	1635	TTK IN DIAGNOSIS AND AS A THERAPEUTIC TARGET IN CANCER
Chiron Corporation	10/951,477 PP016932.0016 20366-122004	9/27/2004	Christoph Reinhard, et al.	Tracy Ann Vivemore	1635	TTK IN DIAGNOSIS AND AS A THERAPEUTIC TARGET IN CANCER
Chiron Corporation	10/501,187 PP017767.0004 20366-124US1	1/13/2006	Rhonda Hansen	Unknown	1645	GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS BREAST CELLS AND THEIR METHODS OF USE
Chiron Corporation	10/310,673 PP018095.0002 20366-123001	12/4/2002	Pablo-Albert Garcia, et al.	Laura B. Goddard	1645	GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS PROSTATE CELLS AND THEIR METHODS OF USE

Assignee of Record	Serial No./ Docket No.	Filing Date	Inventor(s)	Examiner	Art Unit	Title
Chiron Corporation	10/934,842 PP021985.0001 20366-131001	9/2/2004	Elizabeth Scott, et al.	Unknown	1645	GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS CELLS
Chiron Corporation	10/977,087 PP021986.0001 20366-134001	10/28/2004	Christoph Reinhard, et al.	Unknown	1635	GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS CELLS AND THEIR METHODS OF USE V
Chiron Corporation	10/948,737 PP021987.0001 20366-133001	9/22/2004	Vivien W. Chan, et al.	Unknown	1635	GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS CELLS III
Chiron Corporation	60/345,637 PP017767.001 20366-124P01	4/24/2003	Rhonda Hansen	N/A	N/A	GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS BREAST CELLS AND THEIR METHODS OF USE



2300-1575000

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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 16, 2005

PTAS

BOZICEVIC, FIELD & FRANCIS LLP  
JAMES S. KEDDIE, PH. D.  
200 MIDDLEFIELD ROAD, SUITE 200  
MENLO PARK, CA 94025

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RECORDATION DATE: 01/22/2004

REEL/FRAME: 014950/0721  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KENNEDY, GIULIA C.

DOC DATE: 07/24/2000

ASSIGNEE:

CHIRON CORPORATION  
P.O. BOX 8097  
INTELLECTUAL PROPERTY-R440  
EMERYVILLE, CALIFORNIA 94608-8097

SERIAL NUMBER: 10763692

FILING DATE: 01/22/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: POLYNUCLEOTIDES DIFFERENTIALLY EXPRESSED IN ADENOCARCINOMAS,  
POLYPEPTIDES ENCODED THEREBY, AND METHODS OF USE THEREOF

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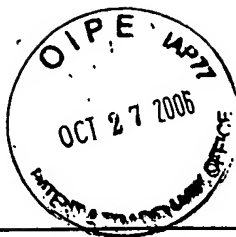
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Bozicevic, Field, & Francis



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\*102664775A\*

BOZICEVIC, FIELD & FRANCIS LLP  
JAMES S. KEDDIE, PH. D.  
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ASSIGNOR:  
KENNEDY, GIULIA C.

DOC DATE: 07/24/2000

ASSIGNEE:  
CHIRON CORPORATION  
P.O. BOX 8097  
INTELLECTUAL PROPERTY-R440  
EMERYVILLE, CALIFORNIA 94608-8097

SERIAL NUMBER: 10763692  
PATENT NUMBER:  
TITLE:

FILING DATE: 01/22/2004  
ISSUE DATE:

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ASSIGNMENT DIVISION  
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Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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102830150

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Atty Docket No.: 2300-1575CON

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

KENNEDY, GIULIA C.

9.2.04

2. Name and address of receiving party(ies)

Name: **CHIRON CORPORATION**Internal Address: **Intellectual Property-R440**Street Address: **P.O. Box 8097**City: **Emeryville**State: **California**Zip: **94608-8097**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other **Corrective Recordation: Please add the filing date of the application, 01/22/2004.**Execution Date: **07/24/2000.**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**10/763,692, 01/22/2004**

B. Patent Registration No.(s)

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Bozicevic, Field & Francis LLP**Internal Address: **200 Middlefield Road, Suite 200**City: **Menlo Park** State: **California** Zip: **94025**6. Total number of applications and patents involved ☒7. Total fee (37 CFR 3.41)..... **\$40 (previously paid)**☒ No fee necessary-PTO error☒ Authorized to be charged to deposit account

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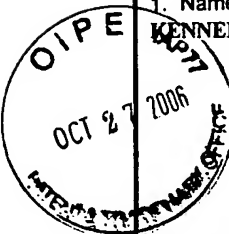
James S. Keddie, Ph.D., Reg# 48,920

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102664775

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**KENNEDY, GIULIA C.**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **CHIRON CORPORATION**

Internal  
Address:

**INTELLECTUAL PROPERTY-R440**

Street Address: **P.O. BOX 8097**

City: **EMERYVILLE**

State: **CALIFORNIA**

Zip: **94608-8097**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **07/24/2000**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **January 22, 2004**.

A. Patent Application No.(s)

**10763692**

B. Patent Registration No.(s)

**1-22-04**

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Bozicevic, Field & Francis LLP**

Internal Address: **200 Middlefield Road, Suite 200**

City: **Menlo Park** State: **California** Zip: **94025**

6. Total number of applications and patents involved **1**

7. Total fee (37 CFR 3.41)..... **\$40**

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

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**James S. Keddie, Ph.D., Reg# 48,920**

**01/22/04**

Name of Person Signing

Signature

Date

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031356 U.S. PTO  
10763692



012204

2/06/2004 ELCOMPER 00000184 500815 10763692

1 FD:8021 40.00 DR

Atty Docket No.: 1575.003

## ASSIGNMENT

WHEREAS, I, Giulia C. Kennedy, hereinafter referred to as *ASSIGNOR*, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent: Title of Invention: *Polynucleotides Differentially Expressed in Adenocarcinomas, Polypeptides Encoded Thereby, and Methods of Use Thereof*

Date(s) of Execution:

Serial No: N/A

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Filing Date: Herewith

WHEREAS, *CHIRON CORPORATION*, 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as *ASSIGNEE*, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT AMY CONCERN Be it known that, for and in consideration of the sum of One" Dollar (\$ 1.00) lawful money paid to *ASSIGNOR* by *ASSIGNEE*, receipt of which is hereby acknowledged, *ASSIGNOR* has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said *ASSIGNEE*, and *ASSIGNEE'S* successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. § 119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said *ASSIGNEE* as fully and exclusively as it would have been held and enjoyed by said *ASSIGNOR* had this assignment and transfer not been made, to the full end and term of any Letters Patent.

*ASSIGNOR* also agrees that the U.S. application number may be entered above by *ASSIGNEE*

Atty Docket No.: 1575.003

or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

*ASSIGNOR* further agrees that, without charge to said *ASSIGNEE*, but at *ASSIGNEE'S* expense, cooperate with *ASSIGNEE* in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications r Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as *ASSIGNEE* lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said *ASSIGNEE*, or *ASSIGNEE'S* successors and assigns.

*IN TESTIMONY WHEREOF*, *ASSIGNOR* has hereunto signed her name to this Assignment on the dates indicated below.

DATE

7/24/00

Giulia C. Kennedy  
Giulia C. Kennedy

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